Docket No. 5634.243

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

John C. Harvey and James W.

Cuddihy

Serial No.:

08/459,788

Filing Date: June 2, 1995

For:

Signal Processing Apparatus and

Methods

**Assistant Commissioner of Patents** 

Washington D.C. 20231

Group Art Unit: 2602

Examiner:

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56(a) and in conformance with the procedures of 37 C.F.R. §§ 1.97-98 and M.P.E.P. § 609, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The above-referenced application claims priority under 35 U.S.C. § 120 of the following applications:

Serial No.	Filing Date	Patent No.
08/113,329	August 30, 1993	Pending
08/056,501	May 3,1993	5,335,277
07/849,226	March 10, 1992	5,233,654
07/588,126	September 25, 1990	5,109,414
07/096,096	September 11, 1987	4,965,825

Applicants believe that all references cited on the attached PTO 1449 form were cited by or submitted to the PTO in one or more of the case cited above or in U.S. Patent Application Serial No. 06/829,531, filed February 15, 1986 now U.S. Patent No. 4,704,725, U.S. Patent Application Serial No. 06/317,510, filed November 3, 1981 now U.S. Patent No. 4,694,490 and co-pending U.S. Patent Application Serial No. 08/448,143, filed May 23, 1995. Therefore, no copies of the listed references are provided herewith. Additionally, references believed to be dated in the period from November 3, 1981 through September 11, 1987 are included in the present IDS as this application only claims priority to the September 11, 1987 filing date. It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

#### **CERTIFICATION AND/OR FEE**

Since this IDS is being filed pursuant to 37 C.F.R. § 1.97(b) before the later of three months after the filing date of the above-referenced application or the date of receipt of the first Office action on the merits, no certification or fee is required.

Respectfully submitted,

Thomas J. Scott, Jr. (Reg. No. 27,836)

Dated: December 5, 1995

HOWREY & SIMON 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2402 (202) 783-0800 (telephone) (202) 383-6610 (telecopier)

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	Applicant(s) John C. Harvey and James W. Cuddihy	
Title: Signal Processing Apparatus and Methods	Filing Date June 2, 1995	Group 2602

#### **U. S. Patent Documents**

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date
	2,510,046	5/30/50	Ellett, et al.	178/5.6	
	2,619,530	11/25/52	Roschke	178/5.1	
	2,892,882	6/30/59	Hughes	178/5.1	
	2,972,008	2/14/61	Ridenour, et al.	178/5.1	
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	3,950,607	4/13/76	Southworth, et al.	178/6	

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<sup>\*-</sup> If pertinent

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<sup>\*\*\*-</sup> Claims priority from parent application filed prior to Sep. 11, 1987.

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# **Foreign Patent Documents**

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	WO 85/03604	8/15/85	PCT Application	H03K 3/84		Х
	0 132 007	1/23/85	European Patent Office	H04N 7/16		х
	2 033 699	5/21/80	Great Britain	H04L 1/10, 1/40		Х
	2 058 681	6/15/72	Germany	H04N 7/08	Х	
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Title: Signal Processing Apparatus and Methods	Filing Date June 2, 1995	Group 2602

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	Applicant(s) John C. Harvey and James W. Cuddihy	
Title: Signal Processing Apparatus and Method	Filing Date June 2, 1995	Group 2602

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	4,625,235	11/25/86	Watson	358/86	
	4,630,108	12/16/86	Gomersall	358/84	
	4,630,262	12/16/86	Callens et al.	370/81	
	4,634,807	1/6/87	Chorley et al.	178/22.08	
	4,634,808	1/6/87	Moerder	178/22.14	
	4,635,121	1/6/87	Hoffman et al.	358/188	
	4,638,181	1/20/87	Deiss	307/243	
	4,638,359	1/20/87	Watson	358/147	
	4,639,779	1/27/87	Greenberg	358/142	
	4,641,205	2/3/87	Beyers, Jr.	360/33.1	
	4,641,253	2/3/87	Mastran	364/328	
	4,641,307	2/3/87	Russell	370/60	
	4,646,075	2/24/87	Andrews et al.	340/747	
	4,647,964	3/3/87	Weinblatt	358/84	
	4,656,629	4/7/87	Kondoh et al.	370/85	
	4,658,093	4/14/87	Hellman	380/25	
	4,677,434	6/30/87	Fascenda	380/23	
	4,677,466	6/30/87	Lert, Jr. et al.	358/84	

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	4,677,611	6/30/87	Yanosy, Jr. et al.	370/85	
	4,680,581	7/14/87	Kozlik et al.	340/825.06	
	4,684,980	8/4/87	Rast et al.	358/86	
	4,685,056	8/4/87	Barnsdale, Jr. et al.	364/200	
	4,685,131	8/4/87	Horne	380/20	
	4,688,247	8/18/87	Davidov	380/19	
	4,689,022	8/25/87	Peers et al.	434/307	
	4,689,619	8/25/87	O'Brien, Jr.	340/825.08	
	4,692,817	9/8/87	Theis	360/12	
	4,692,819	9/8/87	Steele	360/72.1	
	4,694,491	9/15/87	Horne et al.	380/20	3/11/85
	4,695,953	9/22/87	Blair et al.	364/410	4/14/86
	4,696,034	9/22/87	Wiedemer	380/16	10/12/84
	4,697,281	9/29/87	O'Sullivan	379/59	3/14/86
	4,701,794	10/20/87	Fröling et al.	358/147	4/12/85
	4,706,121	11/10/87	Young	358/142	5/6/86
	B1 4,706,121	12/14/93	Young	358/142	5/6/86
	4,706,282	11/10/87	Knowd	380/3	12/23/85

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date
	4,707,828	11/17/87	Yamada	370/85	9/10/85
	4,709,418	11/24/87	Fox et al.	455/612	9/14/83
	4,710,955	12/1/87	Kauffman	380/10	11/25/85
	4,712,105	12/8/87	Köhler	340/825.69	4/30/85
	4,712,238	12/8/87	Gilhousen et al.	380/20	6/8/84
	4,712,239	12/8/87	Frezza et al.	380/20	6/16/86
	4,722,526	2/2/88	Tovar et al.	273/1 E	1/20/87
	4,724,491	2/9/88	Lambert	358/310	8/28/84
	4,725,886	2/16/88	Galumbeck et al.	358/147	4/21/83
	4,728,949	3/1/88	Platte et al.	340/825.37	3/23/84
	4,731,679	3/15/88	O'Gwynn et al.	360/73	9/20/84
	4,733,301	3/22/88	Wright, Jr.	358/181	6/26/87
	4,734,764	3/29/88	Pocock et al.	358/86	5/6/85
	4,737,993	4/12/88	DeVilbiss	455/180	1/21/87
	4,739,510	4/19/88	Jeffers et al.	380/15	4/2/87
	4,740,890	4/26/88	William	364/200	12/22/83
	4,745,468	5/17/88	Von Kohorn	358/84	3/10/86
	B1 4,745,468	6/11/91	Von Kohorn	358/84	3/10/86

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	4,745,598	5/17/88	Ulug	370/89	11/27/85
	4,747,139	5/24/88	Taaffe	380/44	10/21/86
	4,748,560	5/31/88	Kataoka	364/200	10/11/85
	4,750,036	6/7/88	Martinez	358/147	5/14/86
	4,750,213	6/7/88	Novak	455/67	6/9/86
	4,751,578	6/14/88	Reiter et al.	358/183	5/28/85
	4,751,665	6/14/88	Cappello et al.	364/748	2/24/86
	4,754,326	6/28/88	Kram et al.	364/900	10/25/83
	4,755,871	7/5/88	Morales-Garza et al.	358/84	11/25/86
	4,755,883	7/5/88	Uehira	358/335	9/11/85
	4,761,646	8/2/88	Choquet et al.	340/825.520	5/20/86
	4,763,317	8/9/88	Lehman et al.	370/58	12/13/85
	4,768,087	8/30/88	Taub et al.	358/84	6/6/85
	4,768,110	8/30/88	Dunlap et al.	360/33.1	5/6/87
	4,771,456	9/13/88	Martin et al.	380/10	11/6/86
	4,775,935	10/4/88	Yourick	364/401	9/22/86
	4,783,846	11/8/88	Wachob	455/151	6/4/87
	4,786,979	11/22/88	Claus et al.	358/335	5/22/86

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date <sup>*</sup>
	4,787,063	11/22/88	Muguet	364/900	10/16/85
	4,787,085	11/22/88	Suto et al.	370/110.1	5/29/87
	4,789,863	12/6/88	Bush	340/825.350	1/13/88***
	4,792,849	12/20/88	McCalley et al.	358/86	8/4/87
	4,792,973	12/20/88	Gilhousen et al.	380/24	12/4/87***
	4,802,114	1/31/89	Sogame	364/900	12/15/86
	4,803,725	2/7/89	Horne et al.	380/44	5/14/87
	4,805,014	2/14/89	Sahara et al.	358/86	9/14/87***
	4,812,843	3/14/89	Champion, III et al.	340/905	8/11/87
	4,813,011	3/14/89	Kulakowski et al.	364/900	5/13/85
	4,815,129	3/21/89	Griffin et al.	380/15	1/2/85
	4,816,905	3/28/89	Tweedy et al.	358/86	4/30/87
	4,821,032	4/11/89	Shimada et al.	340/825.210	12/23/85
	4,821,102	4/11/89	Ichikawa et al.	358/183	7/29/87
	4,827,508	5/2/89	Shear	380/4	10/14/86
	4,829,372	5/9/89	McCalley et al.	358/86	8/20/87
	4,833,710	5/23/89	Hirashima	380/20	12/3/87***
	4,837,858	6/6/89	Ablay et al.	455/34	4/30/87

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	4,845,491	7/4/89	Fascenda et al.	340/825.44	5/15/87
	4,847,698	7/11/89	Freeman	358/343	7/16/87
	4,847,699	7/11/89	Freeman	358/343	7/16/87
	4,847,700	7/11/89	Freeman	358/343	7/16/87
	4,860,379	8/22/89	Schoeneberger et al.	455/5	10/20/88***
	4,866,706	9/12/89	Christophersen et al.	370/85.7	8/27/87
	4,868,866	9/19/89	Williams, Jr.	380/49	2/18/88***
	4,876,592	10/24/89	Von Kohorn	358/84	5/10/88***
	4,887,172	12/12/89	Steele	360/73.06	4/10/87
	4,888,796	12/19/89	Olivo, Jr.	379/101	8/31/87
	4,890,321	12/26/89	Seth-Smith et al.	380/20	9/30/88***
	4,893,248	1/9/90	Pitts et al.	364/464.01	2/6/87
	4,908,713	3/13/90	Levine	358/335	6/29/88***
	4,916,539	4/10/90	Galumbeck	358/142	3/14/89***
	4,926,255	5/15/90	Von Kohorn	358/84	5/10/88***
	4,941,040	7/10/90	Pocock et al.	358/86	2/10/88***
	4,963,994	10/16/90	Levine	358/335	2/23/90***
	4,969,209	11/6/90	Schwob	455/158	6/29/88***

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	4,974,252	11/27/90	Osborne	379/92	10/4/88***
	4,977,594	12/11/90	Shear	380/4	2/16/89***
	5,010,571	4/23/91	Katznelson	380/4	9/10/86
	5,034,807	7/23/91	Von Kohorn	358/84	10/19/89***
	5,036,537	7/30/91	Jeffers et al.	380/20	4/3/87
	5,045,848	9/3/91	Fascenda	340/825.26	9/29/87***
	5,050,213	9/17/91	Shear	380/25	8/6/90***
	5,057,915	10/15/91	Von Kohorn	358/84	10/25/90***
	5,060,140	10/22/91	Brown et al.	364/200	1/16/86
	5,083,271	1/21/92	Thacher et al.	364/411	8/3/88***
	5,089,885	2/18/92	Clark	358/86	8/1/88***
	5,128,752	7/7/92	Von Kohorn	358/84	10/25/90***
	5,140,419	8/18/92	Galumbeck et al.	358/142	12/21/89***
	5,144,663	9/1/92	Kudelski et al.	380/16	11/13/90***
	5,152,011	9/29/92	Schwob	455/158.5	6/19/90***
	5,152,012	9/29/92	Schwob	455/158.5	4/27/90***
	5,172,111	12/15/92	Olivo, Jr.	340/825.31	12/18/89***
	5,177,604	1/5/93	Martinez	358/86	6/3/88***

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	5,191,410	3/2/93	McCalley et al.	358/86	2/5/91***
	5,195,092	3/16/93	Wilson et al.	370/94.2	8/30/91***
	5,208,665	5/4/93	McCalley et al.	358/86	2/15/91***
	5,216,552	6/1/93	Dunlap et al.	360/33.1	8/30/88***
	5,227,874	7/13/93	Von Kohorn	358/84	10/15/91***
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	Re. 32,835	1/17/89	Howard	333/21 A	11/6/85
	Re. 33,662	8/13/91	Blair et al.	364/410	9/21/89***
	Re. 34,034	8/18/92	O'Sullivan	379/59	9/29/89***

<sup>\*-</sup> If pertinent

## **Foreign Patent Documents**

Examiner	Document	Publication	Country	Class /	Trans	lation
Initial	Number	Date		Subclass	Yes	No
	0 055 674	7/7/82	European Patent Appl.	H04L 11/20		х

Examiner	Date Considered

<sup>\*\*\*-</sup> Claims priority from parent application filed prior to Sep. 11, 1987.

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0 103 438 A1	3/21/84	European Patent Appl.	H04N 7/10		х
0 128 481 A2	12/19/84	European Patent Appl.	H04N 7/00	-	х
0 152 251	8/21/85	European Patent Appl.	H04H 1/02		х
0 206 821	12/30/86	European Patent Appl.	H04N 7/00		Х
0 217 308 A2	4/8/87	European Patent Appl.	H04M 11/00		х
2 126 002 A	3/14/84	Great Britain	G11B 15/02		х
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2 185 670 A	7/22/87	Great Britain	H04N 7/087		х
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DE 3337204 A1	4/25/85	German	H04N 5/44	х	
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			H04K 1/04		
WO 87/04884	8/13/87	PCT Appl.	H04M 11/08		х
			H04M 15/00		

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